

Agenda Item No: 13

Meeting	Board of Directors
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Date	4 th October 2012
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Title	Monitor Q2 compliance report
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<p>Executive Summary</p> <ul style="list-style-type: none"> • <i>Why is this paper going to the Board</i> • <i>To summarise the main points and key issues that the Board should focus on including risk, compliance priorities, cost and penalty implications, KPI's, Trends and Projections, conclusions and proposals</i> 	<p>As a Foundation Trust regular declarations are required with regard to compliance with targets and financial performance. These declarations are made on a template provided by Monitor which includes worksheets for financial performance, governance declarations and performance against targets. Normally these are approved at a Board meeting before being uploaded to Monitor however a change to the timing of Board meetings means that validated performance data for Q2 is not yet available.</p> <p>The governance and target templates will be uploaded with the monthly financial templates by the close of play on October 31st 2012.</p> <p>The Trust will remain red rated until Monitor are satisfied that actions taken have led to a sustained improvement and compliance with the Terms of Authorisation.</p>
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<p>Next steps/future actions</p> <p><i>Clearly identify what will follow a Board decision i.e. future KPI's, assurance requirements</i></p>	<p>The Board are asked to note the proposed declaration and delegate approval of the final submission to the Chair, CEO and Director of Finance.</p> <table border="1" style="width: 100%;"> <tr> <td>Discuss</td> <td></td> <td>Receive</td> <td></td> </tr> <tr> <td>Approve</td> <td>✓</td> <td>Note</td> <td></td> </tr> </table>	Discuss		Receive		Approve	✓	Note	
Discuss		Receive							
Approve	✓	Note							

Assurance to be provided by:	
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This Report Covers (please tick relevant boxes)

Strategy		Financial Implications	✓
Performance		Legal Implications	
Quality	✓	Regulatory	✓
Workforce		Stakeholder implications	
NHS constitution rights and pledges		Equality Impact Assessed	
For Information		Confidential	

Prepared by	Esther Steel Trust Secretary	Presented by	Esther Steel Trust Secretary
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Compliance Declaration Q2 2012/13

1. PURPOSE

The purpose of this paper is to inform the Board's consideration of the quarter two submission to Monitor.

2. BACKGROUND

As a Foundation Trust regular declarations are required with regard to compliance with targets and financial performance.

These declarations are made on a template provided by Monitor which includes worksheets for financial performance, governance declarations and performance against targets.

3. CURRENT POSITION

An update on the current position with regard to operational performance, quality and finance is included on the Board agenda.

4. RECOMMENDATIONS

The Board are asked to delegate approval of the final submission to the Chair, CEO and Director of Finance.

Copies of the proposed submission will be sent to Board members prior to uploading to Monitor.